Please type a plus sign (+) inside this box -> +

PTO/SB/21 (6-98) Approved for use through 09/30/2000. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

09/942,078 Application Number August 28, 2001 Filing Date Donald HARRELL, et al. **First Named Inventor** Group Art Unit 2166 **Examiner Name** 10251-047 Attorney Docket Number

**ENCLOSURES** (check all that apply) Assignment and Recordation Cover After Allowance Communication Fee Transmittal Form Form (for an Application) Fee Attached Appeal Communication to Board Drawing(s) ( 17 sheets) of Appeals and Interferences Amendment / Response Appeal Communication to Group Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final and Accompanying Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a Status Letter Provisional Application Power of Attorney, Revocation Additional Enclosure(s) Extension of Time Request Change of Correspondence (please identify below). Declaration (4 pages) Terminal Disclaimer Express Abandonment Request Return Receipt Small Entity Statement **Postcard** Information Disclosure Statemen Request for Refund Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm PROSKAUER ROSE LLP by Gregg I. Goldman, Reg. No. 38,896 Individual name Signature Date March 22, 2002

|   | E                                 | XPRESS MAIL                                   |                             |               |
|---|-----------------------------------|---|-----------------------------|---------------|
| I hereby certify that this envelope bearing Expre | correspondence is<br>ss Mail No.: | being deposited with the United EL070211806US | States Postal<br>on this da |               |
| Typed or printed name                             |                                   | Margaret C                                    | . Benzin                    | g             |
| Signature   | Murgin                            | W C Dala (ale)                                | Date                        | March 22 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time vil vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Commissioner for Patents, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/942,078

08/28/2001

Donald Harrell

10251-047

21890 PROSKAUER ROSE 1585 BROADWAY NEW YORK, NY 100368299 CONFIRMATION NO. 6164
FORMALITIES LETTER
\*OC000000006830168\*

Date Mailed: 10/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 5 total claims over 20.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(!) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- The balance due by applicant is \$ 930.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/27/2002 BNGUYEN1 00000017 162500 09942078

| _ | FC:101 | 740.00 |    |
|---|--------|--------|----|
|   | FC:103 | 90.00  | TH |
| 3 | FC:105 | 130.00 | CH |

# 



# A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE